# This Page Is Inserted by IFW Operations and is not a part of the Official Record

# **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

THIS PROPERTY BLANK USPION

Patent Number: WO9638726

Publication date: 1996-12-05

Inventor(s): HEYSE STEPHAN (CH); VOGEL HORST (CH); SAENGER MICHAEL (CH); SIGRIST HANS (CH)

Applicant(s): HEYSE STEPHAN (CH); VOGEL HORST (CH); ECOLE POLYTECH (CH); SAENGER MICHAEL (CH);

SIGRIST HANS (CH)

Requested Patent: WO9638726

Application Number: WO1996IB00496 19960524

Priority Number(s): EP19950810354 19950530

IPC Classification: G01N33/543; C12Q1/00; G01N27/327; A61L27/00; A61L29/00

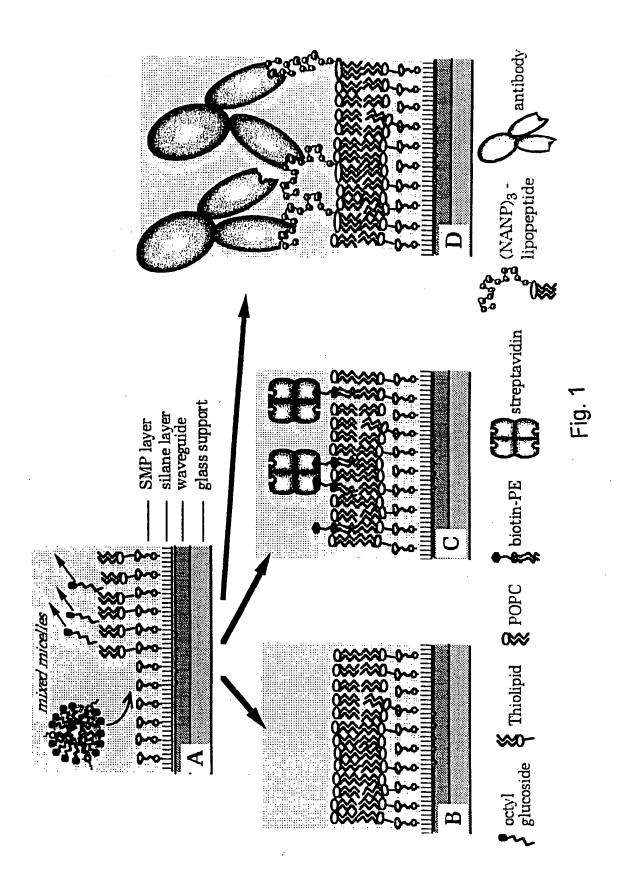
EC Classification: C12Q1/00B2, G01N33/543K2B, A61L27/34, A61L29/08B

Equivalents:

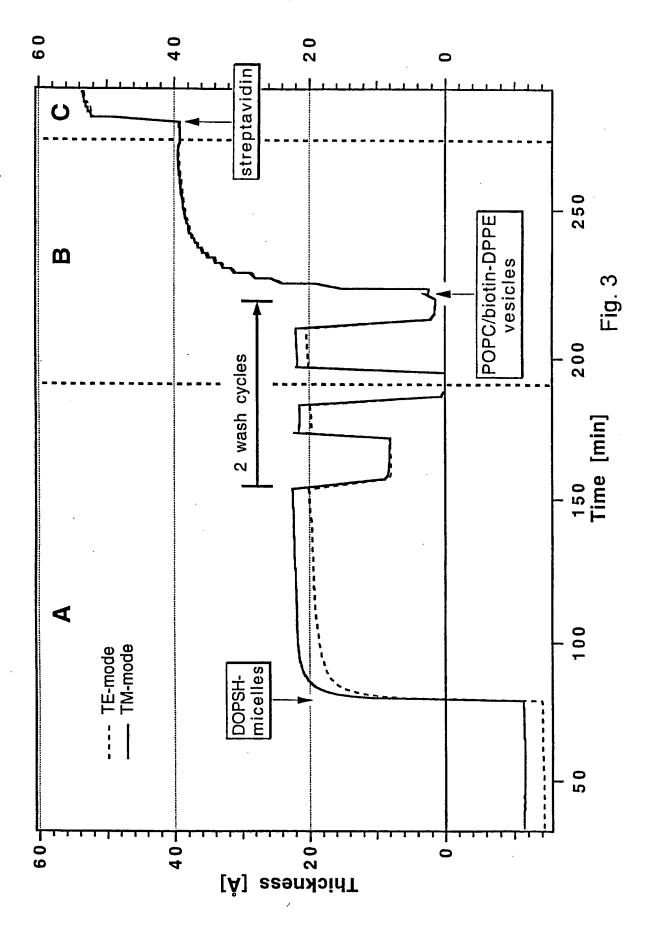
Cited Documents: EP0441120; WO9321528; WO9407593; CA2064683; WO8905977

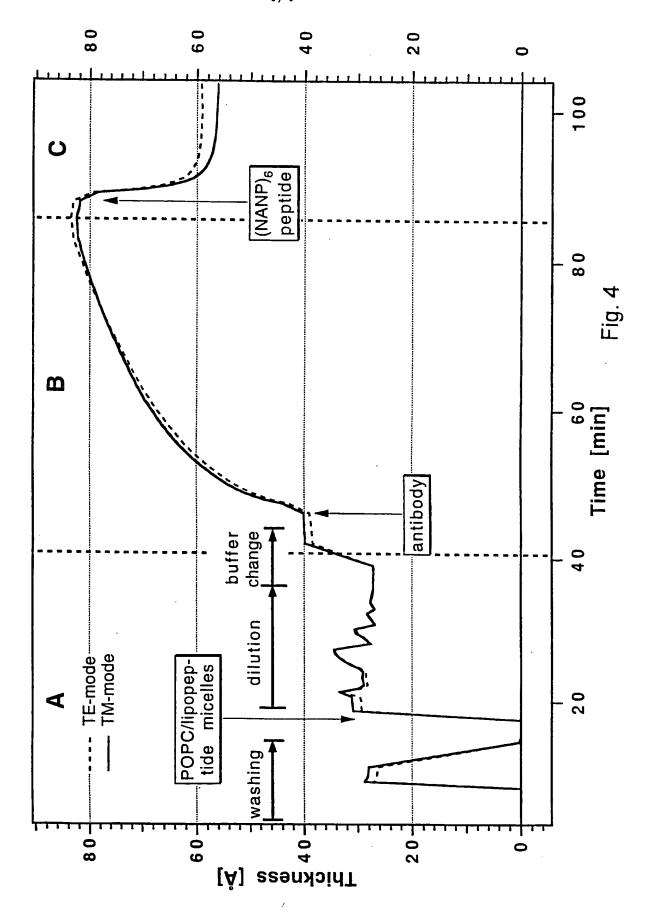
Abstract

A solid coated device having covalently attached a coating which comprises a first layer containing first functional groups, to which first layer functional groups is covalently attached a second, linking layer carrying second functional groups, to which second, linking layer is covalently attached a third, proximal phospholipid layer, which third, proximal phospholipid layer, to which third, proximal phospholipid layer is not-covalently attached a fourth, distal lipid layer, so that the proximal and the distal lipid layers together form a bilayer, which lipid bilayer, into which lipid bilayer are optionally inserted receptor molecules, method of the preparation thereof, and uses therof as biosensors or implantation devices.



F1g. 2





# COVALENTLY IMMOBILIZED PHOSPHOLIPID BILAYERS ON SOLID SURFACES

Field of the Invention

The invention concerns devices carrying on the surface covalently immobilized lipid bilayers which bilayers optionally contain biological receptor molecules, processes for their preparation, and their use as biosensors or implantation devices.

## Background Art

The common structural element of cell membranes is a double layer (herein "bilayer") of lipid molecules held in place by intermolecular forces. A lipid is generally defined as a molecule carrying at one end a hydrophobic hydrocarbon chain, and at the other end a hydrophilic polar group. Membranes separate compartments, each membrane being associated with an inside and an outside. Many biologically important signal transduction processes occur at the level of cell membranes.

Specialized membrane receptors selectively detect and bind ligand and thereby filter these extracellular signals, pass them on across membranes, amplify and integrate them.

Supported lipid bilayers with reconstituted membrane-bound receptor molecules are potentially useful as receptive layers in biosensors. A biosensor is a device which converts biological activity into a quantifiable signal. In the receptor part of a biosensor the (bio)chemical information is transformed into a form of energy which is measured by the transducer. The transducer part is a device capable of transmitting this energy. It transduces the chemical information from the sample to an analytical signal.

Transducer systems include electrochemical devices, piezoelectric crystals, surface acoustic devices, thermistors and optical devices.

The clinical application of sensing devices or artificial biomaterials which contact body fluids is of major importance in modern medicine. However, it is well known that proteins and cells adsorb on the surface of artificial materials when they come in contact with blood or other body fluids. Adverse reactions between foreign or prosthetic surfaces and blood components are the predominant factors restricting the use of certain biomaterials. A typical example of this is the inability of biosensors to operate effectively for any length of time in blood.

Several attempts have been made to enhance the biocompatibility of implant materials by coating them with layers of naturally occurring or synthetic lipids. For example, Kono et al. (1989) reported suppressed platelet adhesion to polyamide microcapsules coated with neutrally charged lipid bilayer membranes compared to platelet adhesion to bare polyamide surfaces. Chapman (1993) reported a suppressed thrombogenicity of lipid bilayer coated plastic or metal surfaces. It is therefore believed that coating biosensor surfaces with lipid bilayers will distinctly enhance their potential applicability in body fluids, either for in vitro assays or as implantable devices.

At present, there are three techniques published to create supported lipid bilayers: (i) The Langmuir-Blodgett technique (LB-technique) is suited to create supported lipid bilayers in a layer by layer manner. For reviews see McConnel et al., 1986, and Roberts, 1992.

(ii) Several partially modified self-assembly (SA) techniques of lipid vesicles, applicable to hydrophilic surfaces, which result in a supported lipid bilayer by a surface-induced fusion of the lipid vesicles have been described by Bayerl et al., 1990, Kiefer et al., 1991, Johnson et al., 1991, and Contino et al., 1994. A modified procedure was applied to solid surfaces which are totally or partially covered by a molecular layer of physisorbed fatty acids or phospholipids transfered by LB-techniques to hydrophilic surfaces

(Kalb et al. 1992) or by SA of thioalkanes or thiolipids (Lang et al.

1994) to gold or silver surfaces resulting in a hydrophilic surface of the supporting material. In a second step the first layer is completed and simultaneously a second lipid layer is formed (Lang et al. 1994).

(iii) The formation of supported lipid layers on a solid support, which is totally or partially covered by a first hydrophobic molecular layer (see ii) can be also formed by

SA of mixed lipid-detergent micelles by a simple dilution technique below the critical micellar concentration (Lang et al. 1994, and Terrettaz et al. 1993).

Supported lipid bilayers as membrane models for studying ligand-receptor interactions occurring at cell membrane surfaces have been introduced by McConnell (1986). Lipid bilayers on solid supports represent geometrically well defined systems: the hydrophilic polar groups of the lower (proximal) lipid monolayer contact the support, whereas the polar groups of the upper (distal) monolayer face the surrounding aqueous phase. Hydrophobic forces hold together the two lipid leaflets.

The incorporation of naturally occurring receptor molecules in a functionally active state in supported lipid layers formed the basis of pioneering biosensors, which made use of transmembrane proteins and glycolipids as receptor molecules.

Procedures for the covalent attachment of lipid bilayers have been realized by Lang et al., WO 93/21528, in the case of gold-surfaces, exploiting the strong interactions (chemisorption) between sulfur-bearing molecules and a zero-valent gold surface. However, many device surfaces are not composed of zero-valent metals. For such surfaces other methods for the covalent attachment of lipid bilayers have to be followed.

Two studies dealt so far with the covalent attachment of lipid bilayers on surfaces composed of materials other than zero-valent metals: Uzgiris (1987) published UV immobilized phospholipids bilayers, where dinitrophenyl phosphatidylethanolamine containing monolayers, which were deposited by

LB-technique onto carbon shadowed nitrocellulose, became covalently linked to the support upon irradiation at 350 nm (Fig. la in Uzgiris; 1987). However, the experimental evidence for a covalent linkage of the lipid layer is very poor.

Uzgiris admits that a second layer, deposited onto the first, covalently bound layer, could not be removed by diluted detergent solutions or by organic solvents. In Uzgiris' method the photoactivatable headgroups of the reactive lipids in the lower leaflet are in direct contact with the support.

The direct attachment of the first proximal monolayer to the support results in a very inflexible and rigid membrane which lacks the space and water required for the proper folding of the extra-membraneous parts of membrane proteins. In addition the described photoimmobilization procedure (radical formation) has not been applied to hydroxylated surfaces.

Another concept for the covalent attachment of lipid bilayers was presented by Erdelen et al. (1992) on the 4th European

Conference on Organized Thin Films. This approach comprises the modification of glass, silica or quartz with a monolayer of isothiocyanate bearing silanes. Double chain lipids with a hydrophilic polyethylenoxide spacer and terminal amino groups were then covalently linked to the thiol activated support via thiourea formation. Afterwards a second lipid layer is transferred via LB-technique. Erdelen et al. apply a synthetic double-chain lipid in the polar head. No phospholipids were emploid but only lipids with terminal amino groups. However, phospholipids are the most abundant lipid species in cell membranes.

It has been frequently observed that the reconstitution and biological activity of membrane anchored- and transmembrane proteins are optimal when they are embedded in a fluid phospholipid bilayer membrane (Gennis, 1989). Phospholipids are therefore indispensable building-blocks when designing supported lipid bilayers which closely mimic cell membranes with regard to their function and structure.

Thompson et al., USP 4,824,529, disclose a protected lipid membrane-based device comprising a porous membrane-protective layer to which is physically attached a libid membrane.

Harden, USP 4,490,216, describes a device sensitive to polarity comprising a solid electrically conductive layer to

which is covalently attached an alkylsilan as a first layer and to which is non-covalently attached a lipid to form a mixed bilayer membrane. Ulrich et al., USP 4,637,861, disclose a lipid monolayer covalently bound to a support.

Osman et al., WO 90/02327, disclose improvements in sensitivity and selectivity of ion channel membrane biosensors. Gitler et al., EP 441 120 disclose biosensors with a lipid bilayer on a recording electrode. King et al., WO 92/17788, provide electrode membrane combinations for use in biosensors. Cornell et al., WO 89/01159, describe amphiphilic bilayer membranes with a plurality of ion channels incorporated claimed to be useful as biosensors.

None of the prior art discloses the novel and improved devices envisioned in the present invention and the uses thereof.

## Object of the Invention

It is an object of the present invention to provide novel and improved solid devices coated with a phospholipid bilayer of which the proximal lipid layer is stably attached to the basic material in a distance from the surface of the device to allow the presence of an aqueous layer between the bilayer and the surface of the device and to allow for easy incorporation of large receptor molecules.

It is a further object to incorporate bioreceptors into such bilayer in a mode that naturally occurring conditions of biological membranes are mimiced and substrate/receptor binding can be measured by electrical or optical methods.

It is a further object to provide simple and reproducible methods for the production of such coated devices and to provide uses for the coated devices.

#### **Detailed Description**

The present invention concerns a solid device carrying a coating which coating comprises (a) a first covalently attached layer containing first functional groups, (b) to which first layer functional groups is covalently attached a second, linking layer carrying second functional groups, (c) to which second, linking layer is covalently attached a third, proximal phospholipid layer, (d) to which third, proximal phospholipid layer is noncovalently attached a fourth, distal lipid layer, so that the proximal and the distal lipid layers together form a lipid bilayer, (e) into which lipid bilayer are optionally inserted receptor molecules.

The invention is clearly illustrated by the accompanying Figures of which a short description follows.

# Short description of the Figures

Fig. 1: Schematic cross-sections of different waveguide devices useful as biosensors.

- (A) Binding of thiolipids from mixed micelles to a maleimidemodified waveguide surface to form an imperfect third, proximal lipid layer.
- (B) Biosensor with fourth, distal lipid layer on the third, proximal lipid layer, self-assembled from vesicles or mixed micelles, forming a lipid bilayer linked to the waveguide surface via linker molecules. Lipids of the fourth, distal lipid layer also fill up the imperfect third, proximal lipid layer.
- (C) Self-assembled lipid bilayer containing a fraction of biotinylated lipids to which streptavidin is bound.
- (D) Self-assembled lipid bilayer containing a fraction of (NANP)3-lipopeptide as lipid-anchored peptide antigen to which specificly and nonspecificly a monoclonal anti-(NANP)n antibody is bound.
- Fig. 2: Representation of an example of the four layers of the coat of a coated device. X represents a group CH2N(CH3)3+, a chemically reactive group, a receptor molecule, e.g. biotin, a carbohydrate, or a polymer.
- Fig. 3: The graph shows the change of the apparent thicknesses during formation of the lipid layers versus time and

finally the binding of a streptavidin layer to the lipid bilayer on a maleimide-modified waveguide as measured with the integrated Optics Scanner from ASI AG (A) The proximal lipid layer consists of the thiolipid DOPSH.

Fifteen to sixty minutes after its attachment a steady state is reached. The actual average thickness of the thiolipid layer bound to the surface is evaluated as the difference between the signal of the buffer before adding DOPSH micelles and the measured stable signal of the thiolipid layer after the second washing step (end of period A). This value was arbitrarily defined as 0 A on the thickness axis.

Accordingly, the measurement starts at negative values. The two polarizations of the laser light (TE- and TM-modes) yield different thickness values for the isotropically calculated thiolipid layer. This can only be explained by the assumption that the thiolipid binding changes the overall anisotropy of the system.

- (B) Self-assembly of the fourth, distal lipid layer from POPC vesicles doped with 2 mol% biotin-DPPE.
- (C) After rinsing with buffer, streptavidin solution is added, resulting in an increase of the apparant thickness.
- Fig. 4: The graph shows the change of the apparent thicknesses versus time of the fourth, proximal lipid layer containing (NANP)3-lipopeptide during formation of said layer and after addition of anti-(NANP)n antibody on a waveguide surface as measured with the integrated Optics Scanner from ASI AG.
- (A) After one washing step with octyl glucoside (7-15 min), a second lipid layer is formed by applying a 50 mM octyl glucoside solution thereof.
- (B) The buffer is exchanged for the antibody buffer, causing a change in the index of refraction of the medium. Addition of a solution of antibody resulting in a continuous binding reaction.
- (C) Part of this antibody is displaced upon addition of free antigen (NANP) 6 resulting in a decrease of the thickness of the added layer.

The invention is further described in the following in more detail, providing preferred embodiments, a number of examples and results.

A solid coated device according to the present invention is amenable for electrical or optical signal detection and propagation. It can be used with membrane incorporated receptor molecules as a biosensor, which can selectively bind drugs, hormons, proteins, viruses etc.

The biosensor devices amenable for electrical signal detection are such wherein the lipid bilayer with incorporated receptor molecules is electrically coupled to a transducer such that changes in electrical resistance and capacitance of an electrode upon which the bilayer is mounted can be monitored.

The biosensor devices amenable for optical signal detection are such wherein the lipid bilayer with incorporated receptor molecules is in intimate contact with a transducer such that the binding of ligdnd molecules to the receptors can be opti cally monitored. This is conveniently performed by measuring the changes in the effective refractive indices of guided modes using waveguiding techniques.

The devices of the present invention are further permanently or temporary implantable devices for humans and animals, such as, pace makers, artificial metal or polymer joins, catheters, and the like.

The basic uncoated material of such devices consists, depending on its prospected use, of metal, e.g. titanium, metal oxides, alloy, glas, ceramic or a polymer material. The surface of the basic uncoated device, eventually only in form of a film, is composed for example and without limitation, of glass, diamond or diamond-like materials, silicium, silicium dioxide (SiO2), silicon nitride (e. g. Si3N4), tantaliumoxide (Ta205), titanium dioxide (Tio2), titanium nitride, titanium

carbide, platinum, tungsten, aluminum, or indium/tin oxide and carries on the surface functional groups, such as carboxyl, amino, thiol or in particular hydroxyl groups, to which the coating can be covalently attached. Such basic materials carrying such functional groups are known in the art or can be produced according to conventional methods. For example, a silicon nitride film with varying amounts of NHgroups can be produced from SiH4 and NH3 by plasma discharge deposition according to Gmelin (1995) or Efimov et al.

(1992).

Uncoated devices for biosensor use are commercially availabel sensor chips, e.g, planar optical waveguides having a TiO2:SiO2 2:1 surface, obtainable e.g. from ASI AG, Zurich, Switzerland, or optical fibers, obtainable e.g. from ATOF Ag, Luzern, Switzerland.

The devices of the present invention are further permanently or temporary implantable devices for humans and animals, such as pace makers, artificial metal or polymer joins, catheters, and the like. Uncoated devices for implantation use are suited for implantation into a human or animal, and consist of a metal, such as a biocompatible metal, e.g. titanium, aluminum, platinum, or a platinum alloy, a ceramic material, or a biocompatible organic polymer, e.g. polyurethane, poly(methyl methacrylate), polyethyleneterephtalate (PET), polytetra-fluoroethylene (PTFE,). They are commercially availabel, e.g. from Sulzer AG, Switzerland.

The composition and formation of the different layers of the coating are described in the following steps.

#### Step 1: Formation of the first layer containing first functional groups

The first layer is covalently attached to the functional groups of the basic device in a manner known per se. It is preferably created by reacting the surface of the basic device with a reactive organosilane carrying a first functional group on the other end. Many functionalized organosilan compounds are available. Silylation of various types of surfaces with alkoxysilanes carrying functional groups has been disclosed e.g. by Kallury et al. (1989).

Preferred silane coupling agents for creating a first layer containing first functional groups are for example of the formula

Y-(CH2),-Si(Z)3 (I), wherein Y is an optionally protected functional group, such as amino, protected amino, hydroxy, protected hydroxy, mercapto, protected mercapto, carboxyl or protected carboxyl,

Z is lower alkoxy having from 1 to 6 carbon atoms, such as in particular methoxy, or ethoxy, propoxy, butoxy, pentoxy or hexoxy, or halogen such as Cl, Br or J, and n is an integer from 1 to 8, in particular 3. Preferably such agents are amino-lower alkyl-tri-lower alkoxysilanes, wherein lower alkyl has from 1 to 8 carbon atoms, and is e.g methyl, ethyl, propyl, butyl, pentyl, hexyl, heptyl or octyl, and lower alkoxy has from 1 to 3 carbon atoms, and is e.g. methoxy, ethox or propoxy. Particular preferred silanization compounds are 3- aminopropyltriethoxysilane and 3-mercaptopropyltrimethoxysilane, resulting in an "amino device surface" or a ',thiol device surface", respectively.

Activation of the device surface, leading to an increased number of surface exposed hydroxyl functions, is preferably performed prior to silane coupling. Siloxane films on the device surface are preferably formed by reacting the device in an organic solvent containing the silane coupling agent for several hours at elevated temperatures. Alternatively, silane coupling is performed by exposing the device surface to the silane in the vapor-phase.

If necessary the protecting groups are removed befor the next step.

Step 2: Formation of second, linking layer containing second functional groups
To the functional groups of the first layer is covalently attached the second, linking layer by a conventional method.

The linking layer containing second functional groups is created by reacting the first functional groups, with a homobifunctional- or heterobifunctional crosslinking agent.

Homobifunctional crosslinking agents carry at both ends of the molecules identical functional groups suitable for

reacting at first with the functional groups of the first layer and subsequently with the headgroup functions of the phospholipids of the third layer.

Preferred homobifunctional crosslinking agents are for example activated alpha, omega-dicarboxylic acid esters or anhydrides of the formula

AOC-X-COA (it), wherein A is an activating group, such as preferably Nsuccinimidyloxy, or alkyl- or arylcarbonyloxy, halogen, e.g.

chloro, bromo or iodo, alkan- or arylsufoyloxy, e.g. methanor benzolsulfonyloxy, or the two A together represent oxygen to form an inner anhydride, X is a group (CH2)n, wherein n is an integer from 1 to about 12, preferably 2 to 6, in particular 6, or X is a group (CH2)mCOO-(CH2)m-OCO-(CH2)m, wherein m is an integer from 2 to 4, preferably 2, dicarboxylic acid anhydrides of the formula

HOOC-(CH2)n-COoco-(cH2)n-cooH (III), wherein n is an integer from 1 to about 12, preferably 2 to 6, in particular 2, or bismaleimidoalkanes of the formula

B-(CH2)n-B (IV), wherein B is the 1-maleimido rest and n is an integer from 2 to 12, preferably 4 to 8, in particular 6.

Accordingly, said second functional groups of the linking layer are in particular a N-succinimidyloxy ester group, or another activated carboxylic acid ester group, a carboxylic acid group or a maleimido group.

Heterobifunctional crosslinking agents carry at each end of the molecule different functional groups: one of them is selectively reactive with the functional groups of the first layer whereas the other functional group is selectively reactive with the headgroup function of the phospholipids of the third, proximal phospholipid layer.

Preferred heterobifunctional crosslinking agents carry for example at one end a succinimidyloxy ester function, suitable for reacting with primary amines, and at the other end a 1 maleimido group, the double bond of which being suitable for addition reactions with thiols. Preferred heterobifunctional crosslinking agents are of the formula AoC-(CH2CH2-o),-(CH2)p-B (V), wherein A is an activating group, such as preferably Nsuccinimidyloxy, or alkylor arylcarbonyloxy, halogen, e.g.

chloro, bromo or iodo, alkan- or arylsufoyloxy, e.g. methanor benzolsulfonyloxy, B is the l-maleimido rest, m is an integer from 0 to 12, preferably 0 to 6, and p is an integer from 1 to about 6, preferably 2 to 4, in particular 2, whereby m is selected depending on the degree of the desired hydrophilicity, and m and p together are selected on the distance desired between the surface of the uncoated device and the third, proximal lipid layer.

The linker group according to formula (V), wherein m is above > 0 is of hydrophilic nature.

The first layer together with the second, linking layer separate the third, proximal phospholipid layer from the surface of the uncoated device depending on the length of the carbon chains expressed by the integers n, m and p. Said integers can be adapted to the size and structure of the receptor protein so that the distance of the proximal phospholipid layer to the surface of the device leaves sufficient space for the receptor protein.

Reaction of one of the functional groups of the crosslinking agents with the functional groups of the first layer is achieved in a conventional manner, depending on the functional group of the first layer and the crosslinking agent. The application of heterobifunctional crosslinking agents for tethering proteins to surfaces has been for instance described by Hong et al (1994).

Activated esters and anhydrides of the formulas II to V react easily with a hydroxy or amino group in an organic solvent or organic solvent/buffer mix, if need be in the presence of a condensing catalyst agent. After the reaction with the functional groups of the first layer, the excess of crosslinking agent is removed by washing procedures.

In case the functional group of the first layer is a carboxyl group, it at first, in case it is protected, should be deprotected and transformed into an activated carboxyl group, such as a group -COA described above. This activated group may react with a crosslinker agent carrying instead of a group -COA a hydroxy or amino group.

Preferably the amino group of the first layer is reacted with a heterobifunctional crosslinker of the formula IV, wherein A is succinimidyloxy, to give a linking layer which is covalently bound by an amide bond to the amino group of the first layer and which has a maleimido functional group at the outside.

To the double bond of this maleimido group is in the next step the phospholipid covalently bound by a simple addition reaction to form the third layer.

Step 3: Formation of the third, proximal phospholipid layer

Phospholipids are applied for building up the covalently linked third, proximal lipid layer. A phospholipid comprises a glycerol bridge which links two long fatty acids (either saturated or unsaturated) with a polar head containing a phospho group. The fatty acids by convention occupy the 1st and 2nd position of the glycerol moiety while the phospho containing polar head group is in position 3. The phospho group is bound by an ester linkage to a lower alkyl group carrying a functional group able to covalently reacting with the functional group of the second layer. Such group is for example a group -CH2-R3 in formula VI.

Typical phospholipids forming the third layer and binding covalently to the second layer are for example of the formula

wherein R1-CO and R2-CO independently from each other are rests of a fatty acid and R3 is a group CH2-SH, CH2-(O CH2CH2)n-SH, wherein n is from about 1 to 6, preferably 2, 3 or 4, CH2NH3+ or CH(COO-)NH3+.

R1-CO and R2-CO are rests of a natural or synthetic fatty acid having of from 12 to 20 carbon atoms, e. g. the rest of lauric, myristic, palmitic, stearic, arachidic, oleic, linolic, linolenic, or arachidonic acid. Such acids are found in natural bilayer membranes.

Preferably compounds of the formula VI are selected from the group of naturally occurring or synthetically accessible phosphatidyl-thioethanols, phosphatidyl-ethanolamines and phosphatidylserines, in particular e.g. DMPSH, DOPSH (see

Abbreviations) or thiolipids of the class mentioned in WO 93/215280 and Lang et al., 1994, which citations are hereby incorparated by reference. Prefered are the phospholipids which form at ambient temperature the third layer in the fluid state.

Reacting thiolipids with the maleimido groups of the second layer yields a proximal phospholipid layer covalently bound to the second linking layer through a thioether linkage.

Reacting phosphatidylethanolamines or phosphatidylserines with N-succinimidyl ester groups of the second layer results in a proximal phospholipid layer covalently bound to the second linking layer through amide linkage.

Reacting phosphatidylethanolamines or phosphatidylserines with carboxylic acid groups of the second layer in the presence of a coupling agent yields a proximal phospholipid layer covalently attached to the second linking layer through an amide linkage. Water-soluble carbodiimides are preferably selected as coupling agents.

The reactions are carried out in a conventional manner. For forming the proximal phospholipid layer second functional groups are conveniently contacted with a phase transfer catalyst, e. g. a detergent solution of above mentioned phospholipids in aqueous media. The detergent OG (see

Abbreviations) is preferably selected for this purpose. Due to its high critical micellar concentration (CMC) of 25 mM,

OG can be easily removed from the resulting phospholipid layer by washing with aqueous media.

At this stage the proximal phospholipid layer is usually an imperfect layer as it covers only about 60 to 50% of the device surface. In the next step the imperfect layer is usually filled up and completed by incorporation of a surplus of phospholipids used in the next step.

# Step 4: Formation of the fourth, distal lipid layer

The proximal phospholipid layers serves as a hydrophobic template for the non-covalent deposition of the fourth, distal lipid layer. Lipids of the distal lipid layer can be of natural or synthetic origin, but are preferably selected from the phosphatidylcholine group, preferably phosphatidylcholines with one or two unsaturated fatty acid chains, most preferably 1-palmitoyl-2-oleoyl-sn-glycero-3phosphocholine (POPC).

The lipids of the distal lipid layer are deposited in a conventional manner, e. g. by the vesicle or mixed micelle fusion method, on the third layer to give a lipid bilayer structure. Vesicle fusion and mixed micelle fusion have been described by Lang et al. (1992), Lang et al. WO 93/215280, and Lang et al. (1994), respectively. These citations are hereby incorporated by reference.

Vesicle fusion comprises the formation of small unilamellar vesicles (liposomes) composed of the lipids which should form the distal lipid layer and applying them onto the proximal phospholipid layer. This procedure results in the deposition of a lipid layer onto the proximal phospholipid layer. Excess vesicles are removed by washing, e.g. with buffer B.

Mixed micelle fusion compries detergent dilution and the formation of an aqueous dispersion composed of the lipids envisioned to form the distal lipid layer and a detergent. OG is preferably selected for this purpose. The mixed micelle dispersion is desposited onto the proximal phospholipid layer and is then several times (> 10) diluted in a 1:1 manner with an aqueous buffer. This procedure results in the deposition of a lipid layer onto the proximal phospholipid layer. For both procedures, care has to be taken that the resulting lipid bilayer is always covered with a layer of water.

About 40 to 50% of the device surface may remain uncovered in the previous step by the proximal phospholipid layer.

However, this imperfect proximal phospholipid layer is completed and filled up with lipids upon deposition of the distal lipid layer either by vesicle or mixed micelle fusion (detergent dilution). Consequently, the proximal lipid monolayer of the resulting lipid bilayer is composed of phospholipids covalently attached to the (second) linking layer, and non-covalently bound lipids of the same species that constitute the distal lipid layer.

## Step 5: Incorporation of receptor molecules into the lipid bilayer:

Lipids carrying chemically reactive groups may be part of the distal lipid layer. Said functionalized lipids are incorporated into the distal lipid layer during lipid deposition. Hence, functionalized lipids are conveniently already constituents of the small unilamellar vesicles or mixed micelles applied for lipid deposition.

Lipids carrying functional groups include as headgroup functions for example maleimides, carboxylic acids, activated carboxylic acids, primary amines, and photoactivatable headgroups. Water-soluble biomolecules including enzymes, antigens, antibodies, lectins, and oligonucleotides may be covalently immobilized on such functionalized distal lipid layers through formal chemical reactions including amide formation, formation of thioethers and insertion of photogenerated intermediates into chemical bonds of target molecules.

Lipids bearing receptor molecules may be a constituent of the distal lipid layer. Such lipids are capable of non-covalently binding their respective water-soluble ligands to the distal lipid layer. Such systems include biotinylated lipids for binding of avidin or streptavidin, avidin or streptavidin derivatives, lipid-bound peptides or proteins, antigens for the binding of their respective antibodies, glycolipids for the binding of their respective lectins, and cell receptor ligands for the binding of their respective receptor proteins.

Lipids bearing carbohydrates (glycolipids) or polymeric headgroups may be part of the distal lipid layer. Said lipids are known to reduce unspecific protein adsorption to lipid bilayer membranes. Glycolipids are selected from the group of phosphatidylinositols or from the group of gangliosides.

Polymeric headgroups are preferably polyethylene glycols with molecular weights < or = 2000.

Membrane bound receptor molecules may be incorporated into the lipid bilayer. Said receptor molecules include proteins which are bound to the lipid membrane via a lipid anchor, or membrane proteins which cross the lipid layer once or several times and thereby extend on either side of the lipid bilayer.

Transmembrane proteins are preferably incorporated into a lipid bilayer membrane which is decoupled from the device surface via a second linking layer carrying several hydrophilic oligooxyethylene spacer groups. This arrangement enables receptor proteins which extend beyond the membrane to adopt a configuration which is more closely conform to that found in nature and enables them to respond to the binding of a ligand in a correspondingly natural fashion. For incorporation into the lipid bilayer membrane, transmembrane receptor molecules are preferably inserted into micelles thus forming mixed micelles prior to application for forming the distal lipid layer by detergent dilution.

A solid device according to the invention comprises in particular such devices, wherein said (second) linking layer provides a distance from said surface to said lipid bilayer to allow for an aqueous layer between said surface and said lipid bilayer, wherein into the lipid bilayer are inserted biological receptor molecules, e.g. such which are selected from the group consisting of antigens, haptens, lectins, bioreceptors, such as neural receptor ligands, oligonucleotides and antibodies capable of biospecifically binding with their respective analyte.

The invention pertains in particular to a solid coated device, wherein the device is composed of waveguiding materials, such as mixtures of SiC2 and TiO2, tantalium oxide (Ta205), hafniumoxide, zirconiumoxide, or gallium arsenide.

The invention pertains in particular to a solid device, wherein the device surface is composed of an electrically conductive material, such as a metal or metal oxide carrying OH groups.

The invention pertains in particular to a solid coated device, wherein the first layer is bound via a covalent silicium-oxygen bond to the surface of the device.

The invention pertains in particular to a solid coated device, wherein the second, linking layer is bound via a covalent carbon-carbon bond, or an ether, ester or amide bond to the first layer.

The invention pertains in particular to a solid device, wherein the third, proximal phospholipid layer is bound via a covalent thioether or amide bond to said second, linking layer.

The fourth, distal lipid layer is formed in particular from mixtures of bilayer forming lipids, glycolipids, and lipids with polymeric polar head groups, said lipids, after addition in the form of mixed micelles or liposomes to said third, proximate phospholipid layer, filling up and forming together with said proximal phospholipid layer a bilayer, which may in particular contain reconstituted or surface-linked biologically active agents selected from the group consisting of antigens, haptens, antibodies, carbohydrates, extracellular proteins, trophic factors and bioreceptors including cell receptor ligands, capable of biospecifically binding with cell surface constituents.

In another aspect the invention covers a process for the preparation of said solid coated device comprising the steps of (1) covalently attaching a first layer carrying a first group of functional groups to the uncoated device, (2) covalently attaching to the first functional groups of said first layer a. second, linking layer carrying optionally protected second functional groups, (3) if necessary, deprotecting said protected second functional groups, and covalently attaching a third, proximal phospholipid layer to said optionally deprotected second functional groups, (4) non-covalently attaching a fourth, distal lipid layer to said third, proximal phospholipid layer, so that both lipid layers together form a bilayer, and (5) optionally inserting into said lipid bilayer no-covalently bound receptor molecules.

All the steps are carried out in a conventional manner. The process covers in particular a step 5, wherein said fourth, distal lipid layer is formed by contacting said third, proximal phospholipid layer with mixed micelles or small

unilamellar vesicles.In another aspect the invention concerns the use of a present solid coated device as a biosensor.

The devices of this invention find particular application when used as biosensors with membrane incorporated receptor molecules, which can selectively bind drugs, proteins, viruses etc. Water-soluble receptor molecules may be covalently linked to the lipid membrane, the lipid membrane acting in this particular arrangement predominantly as an interfacing layer, which suppresses unspecific analyte binding (Lang et al., WO 93/215280).

Many naturally occurring receptor molecules are incorporated into cell membranes via a lipid-anchor or are intrinsic membrane proteins, whose polypeptide chains cross the lipid layer of natural membranes once or several times. Examples of the first type are glycosyl-phosphatidylinositol anchored proteins like acetylcolinesterase and alkaline phosphatase.

Members of the second type are channel forming proteins like nicotinic acetylcholine, GABA, glycine and 5-HT3 (serotonin) receptor, and G-coupled receptor proteins like the muscarinic acetylcholine receptor and the beta-adrenergic receptor. The devices of this invention will find particular application in the reconstitution of membrane-associated receptor molecules into covalently attached supported lipid bilayers and their exploitation as sensing elements in biosensors.

The devices of this invention find use in a variety of sensing devices, especially those wherein the lipid bilayer with incorporated receptor molecules is in intimate contact with a transducer such that changes in electrical resistance and capacitance of an electrode upon which the bilayer is mounted can be monitored (Lang et al., WO 93/215280).

The devices of this invention find further use in sensing devices wherein the lipid layer with incorporated receptor molecules is in intimate contact with a transducer such that the binding of ligand molecules to the receptors can be optically monitored. This is conveniently performed by measuring the changes in the effective refractive indices of guided modes using waveguiding techniques.

The invention further concerns the use of a present device as implantation device for the human or animal body.

The ability to repel proteins and prevent cell growth on implant surfaces is a desired goal for many blood and tissue compatible applications. In this regard, covalently linked phospholipid bilayers on permanent (e.g. pace maker) or temporary implant devices (e.g. catheters) suppresses undesired protein adsorption, protein deposition or cell adherence. The invention thus allows to covalently bind phospholipids on implant surfaces and to introduce phospholipid constituents into the second lipid layer which are effective in protein or cell repulsion.

A second beneficial application of the invention concerns lubrication between load bearing implant components. Friction forces in artificial metal-polymer joins which are currently in use, lead to material abrasion. As a consequence, abrased material accumulates at the implant/tissue interphase and adverses healing. Binding of molecular glycolipid films onto metal-based artificial join surfaces allows to use metalmetal joins for load bearing implants. Covalently immobilized lipid bilayer films on either metal surface favorably counteract abrasion through lubrication and retention of molecular solvent layers.

Many modifications and variations of the present invention are possible in light of the above teachings. It is therefore to be understood that within the scope of the appended claims the invention may be practiced otherwise than as specifically described.

Sources and Abbreviations used throughout the description and Examples:
APTES 3-aminopropyl-triethoxysilane, Merck, purified
by distillation under vacuum biotin-DPPE N-((6-(biotinoyl)amino)-hexanoyl)-1,2
dipalmitoyl-sn-glycero-3-phosphoethanolamine,
Molecular Probes, Eugene, Oregon (USA) buffer A 25 mM sodium phosphate buffer, pH 8.0 buffer Ab 66 mM sodium phosphate buffer, pH 7.0, with

100 mM NaCl added for antibody binding

experiments buffer B 25 mM sodium phosphate buffer, pH 6.8,

DMF dimethyl formamide

DMPC 1,2-dimyristoyl-sn-glycero-phosphocholine,

Sigma

DMPSH 1,2-dimyristoyl-sn-glycero-3-phosphothio

ethanol, Avanti Polar Lipids, Alabaster/

Alabama (USA)

DOPSH 1, 2-dioleoyl-sn-glycero-3-phosphothioetha-

nol, Avanti Polar Lipids, Alabaster/Alabama

(USA)

DTNB 5,5'-dithio-bis-(2-nitro-benzenic acid)

IgG immunoglobulin G IOS integrated optical scanner lipo-peptide N-palmitoyl-S-[2,3-bis(palmitoyloxy)-(2RS) propyl]-[R]-cysteinyl-[S]-seryl-(NANP)3, G.

Jung, Institut für organische Chemie,

Universität Tübingen, Germany, (Metzger,

Wiesmüller et al., 1991)

NANP peptide sequence Asn-Ala-Asn-Pro OG N-octyl-beta-D-glucopyranoside (Sigma or

Bachem AG, Bubendorf / Switzerland)

POPC 1-palmitoyl-2-oleoyl-sn-glycero-3-phospho

choline, Avanti Polar Lipids, Alabaster/

Alabama (USA)

SA self-assembly

SMP N-succinimidyl 3-maleinimidopropionate [N-(3

maleimido)propionyloxy)-succinimid], Fluka

SPR surface plasmon resonance

Further sources:

Tetradekanthiol Fluka in purum quality

Monoclonal anti-(NANP)n-antibody Sp3E9 raised against a (NANP)40 Dr. H. Matile, Hoffmann-La Roche AG,

Basel, Switzerland. The antibody was 95

pure (SDS-PAGE)

Streptavidin Boehringer Mannheim AG, in BioChemika

quality

C(NANP)6Y, "(NANP)6" synthesized by Dr. Anne Sevin, using

a solid phase strategy and Fmoc

protection (Atherton, Logan et al., 1979)

35 Sj-cysteine, specified activity range 20-150 mCi/mmol

Amersham water purified via an ion exchanger purifica

tion train (Nanopure D4752 system,

Barnstead) with attached 0.2 mm filter

(Supor, DCF specification)

All other chemicals used were reagent grade.

Waveguide instrumentation: Planar optical waveguides incorporating an embossed grating with grating period L = 1/2400 mm were obtained from ASI AG, ZUrich, Switzerland (type 2400, ca.170 nm TiO2:SiO2 2:1 waveguiding layer of refractive index nF ca. 1.8 on AF 45 glass substrate of refractive index ns ca. 1.52). These sensor chips were measured with the

Integrated Optics Scanner IOS-1 from ASI. The conditions for chemical surface modification were optimized using waveguides made from the same material as the sensor chips but lacking the grating coupler. A custom made open cuvette was used to hold the reaction solution (200-400 uL) placed on top of the grating coupler of the optical waveguide.

The following Examples serve as an illustration of the invention, however, should not be construed as a limitation thereof.

Example 1: Preparation of an optical biosensor containing a

DOPS/POPC bilayer

Step 1: Covalent attachment of the first layer: Silanization of waveguide sensor chips with APTES The above described waveguide sensor chips (ASI AG; 4.8 cm x 1.6 cm) are cleaned by incubating them for 5 min in a hot (90 C) 1:1:5 mixture of NH4OH/H2O2/H2O, followed by rinsing three times with double-distilled water. The chips are then treated for 5 min with a hot (9000)1:1:5 mixture of HCl/H2O2/H2O and again washed extensively with doubledistilled water, then rinsed three times with acetone before being vacuum dried for 12 hours at ambient temperature.

Silanization is performed by incubating a clean and dry single chip in 30 ml of dry toluene containing 0.5 ml (2.15 mmol) of APTES. After refluxing for about 3-4 hours the solvent is removed at the end of the reaction, and the chip is washed with chloroform (five times), acetone (twice), and methanol (five times). The silanized chip is dried under a stream of nitrogen and kept in acetonitrile at 4 C until use.

The number of 3-aminopropylsilanyl groups per sensor chip surface area is determined with ninhydrine as described by

Sarin et al., 1981, and is about 1.6 nmol NH2/cm2 (100% covered).

Step 2: Covalent attachment of the second, linking layer: 3

Maleimidopropionylation of the amino group of the first layer 3-Aminopropyl-silanized sensor chips are removed from acetonitrile, dried under a stream of nitrogen and stored in buffer A for at least 12 hours. The sensor chips are then placed within two tight fitting metal plates, the grating area on the waveguide surface being accessible by a circular teflon-lined 1.5 cm2 opening in the cover metal plate. After washing twice with buffer A, the 3-aminopropylsilanyl groups containing waveguide is treated with 200 ul of a 25 mM solution of the heterobifunctional crosslinker SMP in buffer

A/DMF 4:1 (v/v). After incubation for 30 min at ambient temperature, excess reagent is removed by washing once with

DMF and ten times with buffer B.

Step 3: Covalent attachment of the third, proximal lipid layer: Addition of the thiolipid DOPS to the maleimido double bond

DOPSH/OG mixed mixelle solutions are prepared by dissolving a dried thiolipid DOPSH film (0.5 mg) in 500 ul of a 50 mM solution of OG in buffer B. The presence of thiols in the dispersion is assayed by the development of yellow color after 1:1 mixing with Ellman reagent (10 mM DTNB in water, Riddles et al., 1983).

Immediately after the modification with SMP the waveguide chip is assembled with the open O-ring cuvette and mounted on the turntable of the Integrated Optics Scanner (IOS-1). The waveguide/cuvette assembly is rinsed twice with buffer B, then the baseline of the waveguide is recorded. The buffer is replaced by the mixed micelle solution and the thiolipid binding is monitored with the IOS-1. After different times of incubation (ranging from 30 minutes to 16 hours) at ambient temperature, the waveguide surface is rinsed with buffer B. A surplus of the only physisorbed thiolipid DOPS is removed by two to three washings with each 200 ul 50 mM OG.

The thickness of the third layer is given in Table 1, No. 1.

Step 4: Non-covalent attachment of the fourth, distal lipid layer: Formation of the DOPS/POPC bilayer The products of step 4 are treated a) with a vesicle dispersion (Lang et al., 1994), or b) with a mixed micelle solution of POPC (Lang et al., 1994) to form a lipid bilayer by vesicle spreading.

a) The vesicle dispersion is produced by drying down a chloroform solution of 1 mg of POPC under nitrogen, adding

50 ul of buffer B to the lipid film and sonicating the aqueous mixture 3-4 times for 3 minutes in a bath-type sonicator (Sonorex RK 102p) until a clear vesicle dispersion is obtained. This dispersion is diluted with buffer B to a final lipid concentration of 1 mg/ml. 200 ul of the vesicle dispersion is placed on the grating region of the thiolipidcontaining waveguide chip of step 3 and the lipid adsorption is measured with the IOS-1 until a stable layer is obtained.

Excess vesicles are removed without disturbing the formed layer by diluting 1:1 (v:v) with buffer B 10 times, while continuously maintaining the waveguide covered with buffer.

The thickness of the POPC layer as averaged over 9 experiments is shown in Table 1, No. 3. The average thickness of the entire DOPS/POPC bilayer determined from 4 experiments (like the one shown in Fig. 3) is given in Table 1, No. 9.

b) The mixed micelle solution is produced by dissolving 1 g of POPC in chloroform, drying the solution under nitrogen down to obtain a film of POPC which is dissolved in 1 ml of 50 mM of OG in buffer B to give a concentration of 1 mg/ml of

POPC. 200 pl of this solution is placed on the thiolipidmodified waveguide of step 3. After 5 minutes, dilution is started by adding 200 ul buffer B and removing 200 ul of the sample. This procedure is repeated 10 times, allowing the sample to equilibrate between the dilution cycles for at least 1.5 minutes.

The average thickness of the POPC layer, determiend from 3 experiments, is shown in Table 1, No. 4.

Example 2: Preparation of an optical biosensor containing a

DMPS/POPC bilayer

In analogy to Example 1, Step 3, the thiolipid DMPS is added to the maleimido double bonds.

The results of 2 experiments are given in Table 1, No. 2.

In analogy to Example 1, Step 4, b) a DMPS/POPC bilayer is produced from the product of Step 3 and POPC.

The average thickness of 3 experiments of the POPC layer is shown in Table 1, No. 5 (from micelles)

Example 3: Preparation of an optical biosensor containing a

DOPS/POPC/2% biotin bilayer (see Fig. 3)

In analogy to Example 1, Step 4, a), a DOPS/POPC/2% biotin bilayer is produced from the product of Step 3 and a mixture of POPC and biotin-DPPE.

The average thickness of the POPC/2E biotin layer of 6 experiments is shown in Table 1, No. 6 (from vesicles) Example 4: Preparation of an optical biosensor containing a DMPs/POPC/2% lipopeptide bilayer (see Fig. 4) The lipopeptide used is that shown under Sources and

Abbreviations. In analogy to Example 1, Step 4, b), a DMPS/POPC/2% lipopeptide bilayer is produced from the product of Example 2, Step 3 and POPC/2% lipopeptide.

For experiments with lipopeptide, dilution of mixed micelle solutions is used exclusively for producing the second layer on top of the thiolipid layer. Mixtures of POPC and lipopeptide dissolved in chloroform: methanol 1:1 (v:v), are dried and subsequently dissolved in 50 mM of OG solution in buffer B (final concentration 1 mg lipid/ml solution). 200 ul of this solution is placed on the thiolipid-modified waveguide of Example 3. After 5 minutes, dilution is started by adding 200 ul of buffer B, mixing, and removing 200 ul of the sample. This procedure is repeated 10 times, allowing the sample to equilibrate between the dilution cycles for at least 1.5 minutes.

The average thickness of the POPC/2 lipopeptide layer determined from four experiments is shown in Table 1, No. 7 (from micelles)

Example 5: Preparation of an optical biosensor containing

DOPS/POPC/lipopeptide bilayer

In analogy to Example 3 a DOPS/POPC/lipopeptide bilayer is produced from the product of Example 2, Step 3, and mixed mixedles of POPC and different lipopeptide ratios varying from 0.5-4%.

The average thickness of the POPC/lipopeptide layer of 9 experiments is shown in Table 1, No. 8 (from micelles) Example 6: Streptavidin binding to biotin-containing bilayers (see Fig. 3)

Non-covalent binding of Streptavidin to biotin-containing bilayers of Example 3 is measured by injecting a solution of streptavidin in buffer B into the cuvette volume, to give final concentrations of 0.4 - 1.7 AM streptavidin. Binding is allowed to take place for 5-45 minutes, then the unbound strepavidin is removed from the reaction solution by dilution (10 times 1:1 with buffer B).

The results are shown in Fig. 3.

Example 7: Antibody binding to POPC membranes containing 2 mol% lipopeptide (see Fig. 4)

Antibody (monoclonal anti-(NANP)n-antibody Sp3E9) binding to

POPC bilayers of Example 4 containing 0-4 mol % of lipopeptide is initiated by injecting a solution of 0.3 mg/ml Anti-(NANP)n antibody in Ab-buffer to give final antibody concentrations of 100-200 nM. The binding is allowed to continue for 15-40 minutes, then unbound antibodies are removed by washing with Ab-buffer.

Specifically bound antibodies are displaced from the membrane surface by adding 66 ul of a 0.6 mg/ml (NANP)6 solution in

Ab-buffer (final (NANP) 6 concentration 75 WM).

The results are shown in Fig. 4.

Example 8: Preparation of an implantation device containing a DOPS/POPC bilayer

Titanium implant surfaces, e.g. the headpiece or the corresponding pan of an hip joint, carrying a vapor deposited surface layer of titanium nitride are treated with 10 % nitric acid in distilled water during 20 minutes at 80 OC.

This treatment generates hydroxyl functions on the surface of the titanium substrate. The surface is rinsed four times with bidistilled water.

Step 1: Covalent attachment of the first layer: Silanization of the implantaion device with APTES Silanization of the activated surfaces is performed by incubating the surface in 150 ml of dry toluene containing 2.5 ml (10.75 mmol) of APTES. The solvent is removed at the end of the reaction, and the implant surface is washed with chloroform (five times), acetone, (twice), and methanol (five times). Headpiece and recipient pan surfaces are dried with nitrogen and stored in acetonitrile at 4. Silanized device surfaces are washed twice with each 150 ml buffer A.

Step 2: Covalent attachment of the second layer: 3

Maleimidopropionylation of the amino group of the first layer:

The silanized device surface of Step 1 is treated with 200 ml of 25 mM heterobifunctional crosslinker SMP in buffer A/DMF 4:1 (v/v). After incubation for 30 min at ambient temperature, excess reagent is removed by washing once with

DMF and ten times with buffer B.

Step 3: Covalent attachment of the third layer: Addition of a thiolipid to the maleimido double bond Covalent thiolipid binding to the 3-maleimidopropyl group of the linker modified surfaces of Step 2 is carried out in situ using teflon coated counter part mimics to displace the solvent and thus reduce the total volume required for thiolipid binding. (Teflon coated pan mimics are used for headpiece modification. The Teflon coated counterparts are perforated for solvent (reagent) inlet. Inlets density: one perforation per cm2, perforations are connected to a feeder tubing and a solvent delivery system. The setup allows homogeneous surface perfusion.) Thiolipid, e.g. DOPS or DMPS, and OG containing mixed micelle solutions are prepared by dissolving a dried thiolipid film (5 mg) in 5 ml 50 mM OG in buffer B. The reaction is carried out for 4 hours at ambient temperature and physically adsorbed lipid is removed by washing with 50 mM OG (twice) and buffer B (5 times).

Step 4: Non-covalent attachment of the fourth layer:

Formation of lipid/lipid bilayer

The second lipid layer is formed by incubation of the

surface product of Step 3 with heterologous (soybean, egg yolk), synthetic or autologous (extracted from red cells or fat tissue) lipids. Formation of the second lipid layer on top of the thiolipid layer is attained by dissolving the chosen lipid or lipid mixtures (e.g. egg PC) in chloroform: methanol 1:1 (v:v). The lipid is dried and dissolved in 50 mM of OG in buffer B. Mounted in the counter part mimic, the lipid solution is brought in contact with the thiolipid modified layer of Step 3 by the solvent delivery system. After 15 min, detergent dilution is initiated by dispensing buffer B at a rate of 0.4 ml/min via the feeder tubings during 50 min. Bilayer covered joint surfaces are washed with and stored in saline until use.

Table 1: Characterization of lipid layers on modified waveguide surfaces by integrated optics

No Thiolipida Phospholipida Thickness number of (method) [i3b experiments Lipid layers on maleimidemodified waveguides 1 DOPSH ---- 13i5 8 2 DMPSH ---- llil 2 3 DOPSH POPC 28i7 9 (vesicles) 4 DOPSH POPC 30i5 3 (micelles) 5 DMPSH POPC 31i5 3 (micelles) 6 DOPSH POPC/2% biotin 36i4 6 (vesicles) 7 DMPSH POPC/2E LP 27i5 4 (micelles) 8 POPC/0.5-4E LP 31+5 9 DOPSH (micelles) 9 DOPSH POPC 4is 4 (vesicles) a Lipid bilayers were produced by first binding thiolipid from mixed micelle solutions to waveguides and subsequently assembling phospholipids or mixtures of phospholipids either with biotinylated lipids or with lipopeptides, by the method indicated in brackets. LP

b Mean average thickness values given here refer to the lipid layers indicated in underlined letters, i.e. to incomplete mono- or complete bilayers. They were calculated using an index of refraction of nAz = 1.45.

## REFERENCES:

stands for (NANP)3-lipopeptide.

Bayerl, T.M. and Bloom, M. (1990), Pysical properties of single phospholipid bilayers adsorbed to micro glass beads, Biophys. J. 58, 357-362.

Chapman, D. (1993) Biomembranes and new hemocompatible materials. Langmuir 9, 39-45.

Contino, P.B., Hasselbacher, C.A., Ross, J.B.A., and

Nemerson, Y. (1994) Use of an oriented transmembrane protein to probe the assembly of a supported phospholipid bilayer.

Biophys. J. 67, 1113-1116.

Erdelen, C.H., Jullien, L., Ringsdorf, H., Merkel, R., and

Sackmann, E. (1992) Tethered and mobile supported bilayers as model membranes. Poster presented on 4th European Conference on Organized Thin Films. Bangor, U.K.

Efimov et al., Phys. stat. sol. (a) 129, 483 (1992), Physicochemical Properties of Plasma Deposited Silicon Nitride Films Gennis, R.B. (1989) Biomembranes. Molecular structure and function. Springer Verlag. N.Y.

Gmelin, Handbook of inorganic and organometallic chemistry, Silicon Suppl. Vol 5dl, Springer Verlag, Berlin, 8th edn.

(1995)

Hong, H.-G., Jiang, M., Sligar, S.G., and Bohn P.W. (1994)

Cysteine-specific surface tethering of genetically engineered cytochromes for the fabrication of metalloprotein nanostructures. Langmuir, 10, 153-158.

Johnson, S.J., Bayerl, T.M., McDermott, D.C., Adam, G.W.,

Rennie, A.R., Thomas, R.K., and Sackmann, E. (1991),

Structure of an adsorbed dimyristoyl-phosphatitylcholine bilayer measurd with specular reflections of neutrons, Biophys. J. 59, 289-294.

Kalb, E., Frey, S., Tamm, L.K. (1992) Formation of supported planar bilayers by fusion of vesicles to supported phospholipid monolayers. Biochim. Biophys. Acta 1103, 307-316.

Kallury, K.M.R., Ghaemmaghami, V., Krull, U.J., and Thompson,

M. (1989) Immobilization of phospholipids on silicon, platinum, indium/tin oxide and gold surfaces with characterization by X-ray photoelectron spectroscopy and time-of-flight secondary ion mass spectroscopy. Anal. Chim. Acta 225, 369389.

Kiefer, H., Klee, B., John, E., Stierhof, Y.-D., and Jahnig, F. (1991), Biosensors based on membrane transport proteins, Biosensors and Bioelectronics 6, 233-237.

Kono, K., Yoshihiro, I., Kimuar, S., and Imanishi, Y. (1989)

Platlet adhesion on to polyamide microcapsules coated with lipid bilayer membrane. Biomaterials 10, 455.

Lang, H., Duschel, C., and Vogel, H. (1994), A new class of thiolipids for the attachment of lipid bilayers an gold surfaces, Langmuir 10, 197-210.

Lang, H., Duschel, C., Grätzel, M., and Vogel, H. (1992),

Selfassembly of thiolipid molecular layers on gold surfaces: optical and electrochemical characterization, Thin Solid Films 210/211, 818-821.

McConnell, H.M., Watts, T.H., Weis, R.M., and Brian, A.A.

(1986) Supported planar membranes in studies of cell-cell recognition in the innume system. Biochim. Biophys. Acta 864, 95-106.

Metzger, J, Wiesmuller, K.-H., Schaude, R., Bessler, W.G. and

Jung, G. (1991), Synthesis of novel immunologically active tripalmitoyl-S-glycerylcysteinyl lipopeptides as useful intermediates for immunogen preparations, Int. J. Pept.

Protein Res. 37; 46-57.

Riddles, P.W., Blakeley, R.L., and Zerner, B. (1983), Reassesment of Ellman's reagent, Methods Enzymol. 91, 49-60.

Roberts, G. (1990) Langmuir-Blodgett Films. Plenum Press.

N.Y.

Sarin, V.K., Kent, S.B.H., Tam, J.P., and Merrifield, R.B.

(1981), Quantitative monitoring of solid-phase peptide synthesis by the ninhydrin reaction Terrettaz, S., Stora, T., Duschl, C., and Vogel, H. (1993)

Protein binding to supported lipid membranes: Investigation of the cholera toxin-ganglioside interaction by simultaneous impedance spectroscopy and surface plasmon resonance,

Langmuir 9, 1361-1369.

Uzgiris, E.E. (1987) W immobilized phospholipid bilayers, Biochem. Biophys. Res. Comm. 3, 1116-1121.

# What is claimed is:

- 1. A solid device carrying a coating which coating comprises (a) a first covalently attached layer containing first functional groups, (b) to which first layer functional groups is covalently attached a second, linking layer carrying second functional groups, (c) to which second, linking layer is covalently attached a third, proximal phospholipid layer, (d) to which third, proximal phospholipid layer is noncovalently attached a fourth, distal lipid layer, so that the proximal and the distal lipid layers together form a lipid bilayer, (e) into which lipid bilayer are optionally inserted receptor molecules.
- 2. A solid device according to claim 1, which is amenable for electrical or optical signal detection and propagation.
- 3. A solid device according to claim 1, wherein said second, linking layer provides a distance from said surface to said lipid bilayer to allow for a water layer between said surface and said lipid bilayer and for a bioreceptor molecule extending to the inside of the lipid bilayer.
- 4. A solid device according to claim 1, wherein into the lipid bilayer are inserted bioreceptor molecules.
- 5. A solid device according to claim 1, wherein said receptor molecules are selected from the group consisting of antigens, haptens, lectins, bioreceptors, such as cell receptor ligands, oligonucleotides and antibodies capable of biospecifically binding with their respective analyte.
- 6. A solid device according to claim 1, wherein the device surface is composed of glass, diamond or diamond-like materials, silicium, silicium dioxide (SiC2), silicon nitride, tantalium oxide (Ta2C5), titanium dioxide (TiC2), titanium nitride, titanium carbide, platinum, tungsten, aluminum, or indium/tin oxide.
- 7. A solid device according to claim 1, wherein the device surface is composed of waveguiding materials including mixtures of SiC2 and TiO2, tantalium oxide (Ta205) hafnium, zirconium, or gallium arsenide.
- 8. A solid device according to claim 1, wherein the device surface is composed of an electric current conductive material.
- 9. A solid device according to claim 1, wherein the device surface consists of a biocompatible material suited for implantation into a human or animal, such as a biocompatible metal including titanium, aluminum, platinum, and platinum alloys, or a biocompatible organic polymer, including polyurethane, poly(methyl methacrylate), polyethyleneterephtalate (PET), or polytetrafluoroethylene (PTFE).
- 10. A solid device according to claim 1, wherein the first layer carries as said first functional groups hydroxyl, thiol, carboxyl or amino functional groups.
- 11. A solid device according to claim 1, wherein the second, linking layer carries as said second functional groups maleimides, carboxyl or activated carboxyl functional groups.
- 12. A solid device according to claim 1, wherein the third, proximal phospholipid layer is bound via a covalent thioether or amide linkage to said second, linking layer.
- 13. A solid device according to claim 1, for use as a biosensor.
- 14. A solid device according to claim 1, for use as a biocompatible implantation device.
- 15. A solid biocompatible implantation device according to claim 14, comprising a biocompatible lipid bilayer.

- 16. A process for the preparation of a solid coated device according to claim 1, comprising the steps of (1) covalently attaching a first layer carrying a first group of functional groups to the uncoated device, (2) covalently attaching to the first functional groups of said first layer a second, linking layer carrying optionally protected second functional groups, (3) if necessary, deprotecting said protected second functional groups, and covalently attaching a third, proximal phospholipid layer to said optionally deprotected second functional groups, (4) non-covalently attaching a fourth, distal lipid layer to said third, proximal phospholipid layer, so that both lipid layers together form a bilayer, and (5) optionally inserting into said lipid bilayer no-covalently bound receptor molecules.
- 17. A process according to claim 16, wherein said fourth, distal lipid layer is formed by contacting said third, proximal phospholipid layer with mixed micelles or small unilamellar vesicles.
- 18. A process according to claim 16, wherein the fourth, distal lipid layer is formed from mixtures of bilayer forming lipids, glycolipids, and lipids with polymeric polar head groups, said lipids, after addition in the form of mixed micelles or liposomes to said third, proximal phospholipid layer, filling up and forming together with said third, proximal phospholipid layer a bilayer.
- 19. A process according to claim 16, wherein the said micelles and vesicles contain reconstituted or surface-linked biologically active agents selected from the group consisting of antigens, haptens, antibodies, carbohydrates, extracellular proteins, trophic factors and bioreceptors including cell receptor ligands, capable of biospecifically binding with cell surface constituents.
- 20. Use of a device according to claim 1 as biosensor.
- 21. Use of a device according to claim 1 as implantation device for the human or animal body.